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Constraint-based Type Error Diagnosis (Tutorial)

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About me

- Assistant professor in Utrecht, Software Technology
- Topics of interest:
 - Static analysis of functional languages
 - Non-standard/type and effect systems
 - On and off: program plagiarism detection, object-sensitive analysis, soft typing of dynamic languages, and switching classes
 - PhD students active in legacy system modernization, and testing
 - Type error diagnosis (for functional languages/EDSLs)



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Credits

The following people have contributed to this talk:

- Alejandro Serrano Mena, current PhD student
- Bastiaan Heeren, PhD student between 2000-2004
- Patrick Bahr, visiting postdoc in 2014
- Atze Dijkstra, implementor of UHC
- Many master students
- Many people contributed to Helium



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I. Introduction and Motivation



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Static type systems

- Statically typed languages come equiped with an intrinsic type system, preventing some structurally correct programs from being compiled
- "well-typed programs can't go wrong"
- type incorrect programs \Rightarrow the need for diagnosis
- When type checking we typically assume various simple local properties to have been checked:
 - syntactic correctness
 - well-scopedness
 - definedness of variables
- Which properties it enforces, depends intimately on the language
 - Cf. does every function have the right number of arguments in C vs. Haskell



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What is type error diagnosis?

- Type error diagnosis is the problem of communicating to the programmer that and/or why a program is not type correct
- This may involve information
 - that a program is type incorrect
 - which inconsistency was detected
 - which parts of the program contributed to the inconsistency
 - how the inconsistency may be fixed
- ► Traditionally, functional languages have more room for inconsistencies ⇒ at least some attention was paid to type error diagnosis



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Languages follow Lehmann's sixth law

- Java has seen the introduction of parametric polymorphism (and type errors suffered)
- Java has seen the introduction of anonymous functions (I have not dared look)
- Languages like Scala embrace multiple paradigms
- Odersky's "type wall": unless complicated type system features are balanced by better diagnosis, programmers will flock to dynamic languages
- In terms of maintainability of (sizable) programs, dynamic languages do not seem to scale well
- New trends: dynamic languages becoming more static
- ► Again, diagnosis rears its ugly (time-consuming) head



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Some simple Haskell

reverse = foldr (flip (:)) [] $palindrome \ xs = reverse \ xs == xs$

Is this program well typed?



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reverse = foldr (flip (:)) [] $palindrome \ xs = reverse \ xs == xs$

Is this program well typed?

```
Occurs check: cannot construct the infinite type: t ~ [[t]]
Expected type: [t]
Actual type: [[[t]]]
In the second argument of '(==)', namely 'xs'
In the expression: reverse xs == xs
```



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What is wrong?

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- It does not point to the source of the error \rightarrow not precise
- It's intimidating \rightarrow not succint
- It shows an artifact of the implementation \rightarrow mechanical
 - "Occurs check" is part of the unification algorithm
- Generally, message not very helpful
- Anyone know the likely fix?



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 - "Occurs check" is part of the unification algorithm
- Generally, message not very helpful
- ▶ Anyone know the likely fix? *foldr* should be *foldl*



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Unresolved top-level overloading

$$xxxx = xs : [4, 5, 6]$$

where $len = length xs$
 $xs = [1, 2, 3]$



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Unresolved top-level overloading

```
xxxx = xs : [4, 5, 6]
where len = length xs
xs = [1, 2, 3]
```

The Hugs message (GHC's message is just more verbose)

```
ERROR "Main.hs":1 - Unresolved top-level overloading
*** Binding : xxxx
*** Outstanding context : (Num [b], Num b)
```

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- Type classes make the type error message hard to understand
- The location of the mistake is rather vague
- No suggestions how to fix the program Universiteit Utrecht
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Very old school parser combinators

pExpr = pAndPrioExpr
<|> sem_Expr_Lam
<\$ pKey "\\"
<*> pFoldr1 (sem_LamIds_Cons, sem_LamIds_Nil) pVarid
<*> pKey "->"
<*> pExpr

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gives

```
ERROR "BigTypeError.hs":1 - Type error in application
*** Expression
                 : sem_Expr_Lam <$ pKey "\\" <*> pFoldr1 (sem_LamIds_Cons,sem_
LamIds Nil) pVarid <*> pKev "->"
                   : sem_Expr_Lam <$ pKey "\\" <*> pFoldr1 (sem_LamIds_Cons,sem_
*** Term
LamIds Nil) pVarid
*** Type
                   : [Token] -> [((Type -> Int -> [([Char],(Type,Int,Int))] -> I
nt -> Int -> [(Int,(Bool,Int))] -> (PP_Doc,Type,a,b,[c] -> [Level],[S] -> [S]))
-> Type -> d -> [([Char],(Type,Int,Int))] -> Int -> Int -> e -> (PP_Doc,Type,a,b
.f -> f.[S] -> [S]).[Token])]
*** Does not match : [Token] -> [([Char] -> Type -> d -> [([Char],(Type,Int,Int)
)] -> Int -> Int -> e -> (PP_Doc,Type,a,b,f -> f,[S] -> [S]),[Token])]
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```

Order is arbitrary (in Hugs)

$$\begin{array}{l} yyyy::(Bool \rightarrow a) \rightarrow (a, a, a)\\ yyyy = \backslash f \rightarrow (f \ True, f \ False, f \ []) \end{array}$$

What's wrong with this program?



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Order is arbitrary (in Hugs)

$$\begin{array}{l} yyyy::(Bool \rightarrow a) \rightarrow (a, a, a)\\ yyyy = \backslash f \rightarrow (f \ True, f \ False, f \ []) \end{array}$$

What's wrong with this program?

ERROR "Main.hs":2 -	- Type error in application
*** Expression	: f False
*** Term	: False
*** Type	: Bool
*** Does not match	: [a]

- There is a lot of evidence that f False is well typed
- The type signature is not taken into account



The type inference process suffers from (right-to-left) bias [Faculty of Science] Universiteit Utrecht

Order is arbitrary (in GHC)

```
zzzz = \langle f \rangle (f [], f True, f False)
```

```
Ov.hs:8:23:
Couldn't match expected type '[t2]' with actual type 'Bool'
Relevant bindings include
f :: [t2] -> t (bound at Ov.hs:8:9)
zzzz :: ([t2] -> t) -> (t, t, t) (bound at Ov.hs:8:1)
In the first argument of 'f', namely 'True'
In the expression: f True
```

- No signature to take into account
- ▶ Both *f* True and *f* False are found to be in error
- The type inference process suffers from (left-to-right) bias



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Good Error Reporting Manifesto

From Improved Type Error Reporting by Yang, Trinder and Wells

- 1. Correct detection and correct reporting
- 2. Precise: the smallest possible location
- 3. Succint: maximize useful and minimize non-useful info
- 4. Does not depend on implementation, i.e., amechanical
- 5. Source-based: not based on internal syntax
- 6. Unbiased
- 7. Comprehensive: enough to reason about the error



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II. Constraint-based Type Inference



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Hindley-Milner (intuitive summary)

- Consider the expression $\setminus x \rightarrow x + 2$.
- Hindley-Milner will
 - \blacktriangleright introduce a fresh α for x
 - ▶ look at the body x + 2: unify the arguments of + with their formal types (here all Int)
 - ▶ a becomes Int, and the whole expression has type Int → Int



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Adding let-polymorphism to the mix

Consider

 \blacktriangleright For $z,\,\alpha_1$ is introduced, so that the body of y has type α_1

- Since α₁ does not show up in any other type (it is free) we may generalize over α₁ so that y :: ∀ β . β → β
- ► Visit the body, introducing α for x, and instantiating β in y to, say, α₂ to give α₂ → α₂
- ► Unifying α with α₂ will identify the two, (arbitrarily) leading to x :: α and the instance of y :: α → α
- Then we perform the unifications of the previous slide



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The polymorphic lamdba-calculus

$$\begin{array}{c} \frac{\tau \prec \Gamma(x)}{\Gamma \vdash_{\mathrm{HM}} x:\tau} \\ \\ \frac{\Gamma \vdash_{\mathrm{HM}} e_{1}:\tau_{1} \rightarrow \tau_{2} \qquad \Gamma \vdash_{\mathrm{HM}} e_{2}:\tau_{1}}{\Gamma \vdash_{\mathrm{HM}} e_{1} e_{2}:\tau_{2}} \\ \\ \frac{\Gamma \backslash x \cup \{x:\tau_{1}\} \vdash_{\mathrm{HM}} e:\tau_{2}}{\Gamma \vdash_{\mathrm{HM}} \lambda x \rightarrow e:(\tau_{1} \rightarrow \tau_{2})} \\ \\ \frac{\Gamma \vdash_{\mathrm{HM}} e_{1}:\tau_{1} \qquad \Gamma \backslash x \cup \{x: \textit{generalize}(\Gamma,\tau_{1})\} \vdash_{\mathrm{HM}} e_{2}:\tau_{2}}{\Gamma \vdash_{\mathrm{HM}} \operatorname{let} x = e_{1} \operatorname{in} e_{2}:\tau_{2}} \end{array}$$

 Algorithm W is a (deterministic) implementation of these typing rules.



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Characteristics of Algorithm $\ensuremath{\mathcal{W}}$

- Can infer most general types for the let-polymorphic lambda-calculus
- Can deal with user-provided type information
- For extensions like higher-ranked types, type signatures must be provided
- Binding group analysis may need to be performed (always messy)
- Minor disadvantage: let-polymorphism does not integrate that well with some advanced type system features.
- Major disadvantage: algorithmic bias



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What bias?

- Unifications are performed in a fixed order
- Order may be changed: many alternative implementations of HM exist
- Order of unification is unimportant for the resulting types,
- but it is important if you blame the first unification that is inconsistent with the foregoing.



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How to cope

- 1. Investigate families of implementations (=solving orders) algorithm W, M, G, H,...
 - But which one to use when?



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How to cope

- 1. Investigate families of implementations (=solving orders) algorithm W, M, G, H,...
 - But which one to use when?
- 2. Take a constraint-based approach, separating the unifications (=constraints) from the order in which they are solved.
 - ▶ generate and collect the constraints that describe the unifications that were to be performed, e.g., α == Int
 - choose the order to solve them in some way that may be determined by the programmer, or by the program
 - Or even better: consider constraints a set at the time to identify situations that are known to often cause mistakes and suggest fixes



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Constraint-based type inference

- Popular approach (see Pottier et al., Wells et al., Outsideln(X), Pavlinovic et al.)
- A basic operation for type inference is unification. Property: let S be unify(τ₁, τ₂), then Sτ₁ = Sτ₂

We can view unification of two types as a constraint.



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Constraint-based type inference

- Popular approach (see Pottier et al., Wells et al., Outsideln(X), Pavlinovic et al.)
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We can view unification of two types as a constraint.

- An equality constraint imposes two types to be equivalent. Syntax: $\tau_1 \equiv \tau_2$
- We define satisfaction of an equality constraint as follows. S satisfies $(\tau_1 \equiv \tau_2) =_{def} S \tau_1 = S \tau_2$
- Example:
 - $[\tau_1 := Int, \tau_2 := Int]$ satisfies $\tau_1 \rightarrow \tau_1 \equiv \tau_2 \rightarrow Int$



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Bottom-up typing rules

$$\{x:\beta\}, \emptyset \vdash_{\scriptscriptstyle \mathrm{BU}} x:\beta$$
 [VAR]_{BU}

$$\begin{array}{cccc} \mathcal{A}_{1}, \ \mathcal{C}_{1} \ \vdash_{\scriptscriptstyle \mathrm{BU}} \ e_{1} : \tau_{1} & \mathcal{A}_{2}, \ \mathcal{C}_{2} \ \vdash_{\scriptscriptstyle \mathrm{BU}} \ e_{2} : \tau_{2} \\ \mathcal{A}_{1} \cup \mathcal{A}_{2}, \ \mathcal{C}_{1} \cup \mathcal{C}_{2} \cup \{\tau_{1} \equiv \tau_{2} \to \beta\} \ \vdash_{\scriptscriptstyle \mathrm{BU}} \ e_{1} \ e_{2} : \beta \end{array}$$
 [APP]_{BU}

$$\frac{\mathcal{A}, \ \mathcal{C} \quad \vdash_{\mathsf{BU}} e:\tau}{\mathsf{I} \setminus x, \ \mathcal{C} \cup \{\tau' \equiv \beta \mid x: \tau' \in \mathcal{A}\} \quad \vdash_{\mathsf{BU}} \lambda x \to e: (\beta \to \tau)} \qquad [\mathsf{Abs}]_{\mathsf{BU}}$$

▶ A judgement
$$(\mathcal{A}, \ \mathcal{C} \ \vdash_{_{\mathrm{BU}}} e : \tau)$$
 consists of the following.

- ► A: assumption set (contains assigned types for the free variables)
- C: constraint set
- e: expression
- τ: asssigned type (variable)



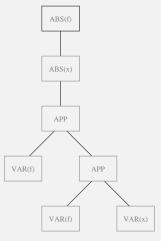
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 $twice = \langle f \rightarrow \langle x \rightarrow f (f x) \rangle$



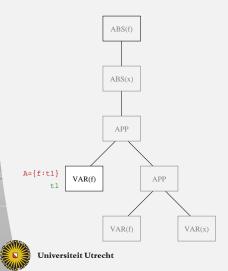
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Constraints

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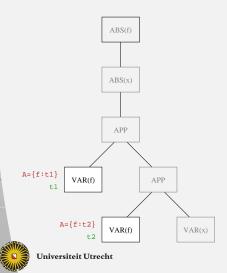


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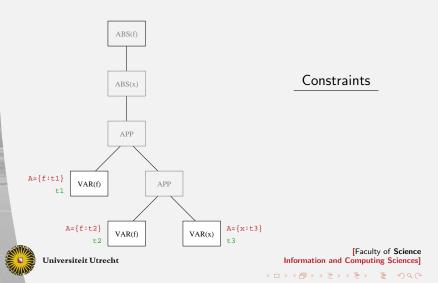
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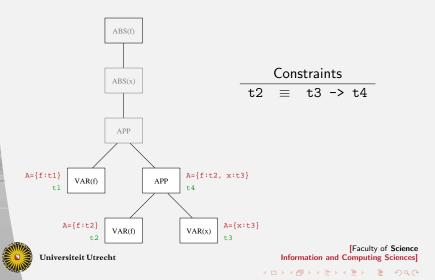






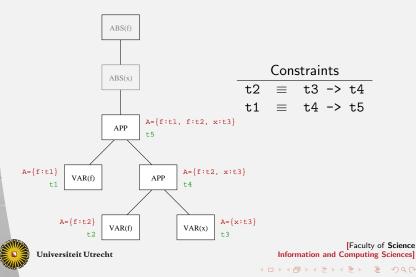


 $twice = \langle f \rightarrow \langle x \rangle f(f x)$



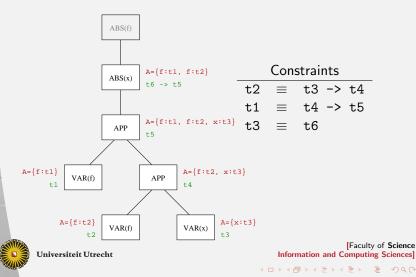


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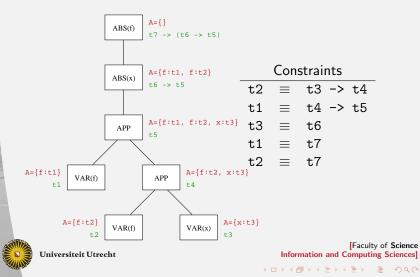




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$$twice = \langle f \rightarrow \langle x \rightarrow f (f x) \rangle$$



$$twice = \langle f \rightarrow \langle x \rightarrow f (f x) \rangle$$

$$\mathcal{C} = \begin{cases} t2 \equiv t3 \rightarrow t4 \\ t1 \equiv t4 \rightarrow t5 \\ t3 \equiv t6 \\ t1 \equiv t7 \\ t2 \equiv t7 \end{cases}$$
$$\mathcal{S} = \begin{cases} t1, t2, t7 := t6 \rightarrow t6 \\ t3, t4, t5 := t6 \end{cases}$$

➤ S satisfies C (moreover, S is a minimal substitution that satisfies C). As a result, we have inferred the type

 $\mathcal{S}(\texttt{t7} \twoheadrightarrow \texttt{t6} \twoheadrightarrow \texttt{t5}) = (\texttt{t6} \twoheadrightarrow \texttt{t6}) \twoheadrightarrow \texttt{t6} \twoheadrightarrow \texttt{t6}$



for twice.

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Constraints and polymorphism

Syntax of an instance constraint:

 $\tau_1 \leq M \tau$

• Semantics with respect to a substitution S:

 \mathcal{S} satisfies $(\tau_1 \leq_M \tau_2) =_{\mathsf{def}} \mathcal{S}\tau_1 \prec \mathsf{generalize}(\mathcal{S}M, \mathcal{S}\tau_2)$

Example:

▶ [t1 := t2, t4 := t5 -> t5] satisfies t4 \leq_{\emptyset} t1 -> t2



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Constraints and polymorphism

Syntax of an instance constraint:

$$\tau_1 \leqslant_M \tau$$

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S satisfies $(\tau_1 \leq_M \tau_2) =_{\mathsf{def}} S\tau_1 \prec \mathsf{generalize}(SM, S\tau_2)$

Example:

▶ [t1 := t2, t4 := t5 -> t5] satisfies t4 \leq_{\emptyset} t1 -> t2

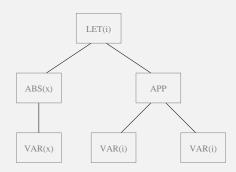
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$$identity =$$
let $i = \langle x \rightarrow x$ in $i i$

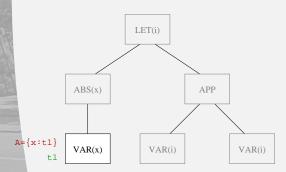
Constraints







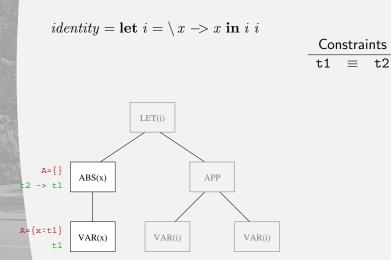
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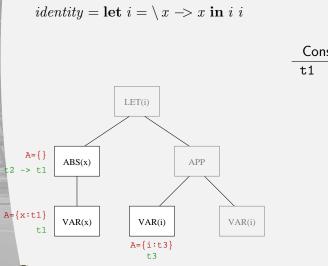
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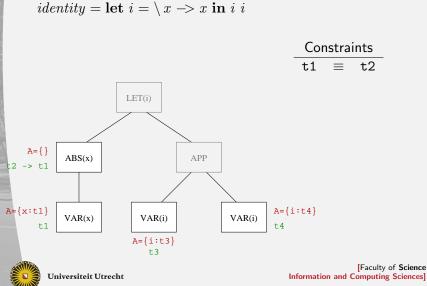


$\begin{array}{c} \text{Constraints} \\ \texttt{t1} \equiv \texttt{t2} \end{array}$

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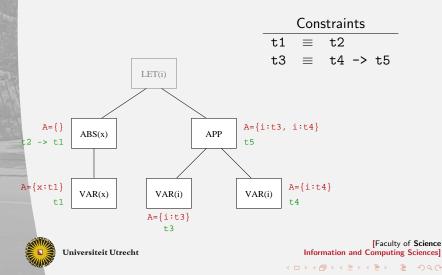
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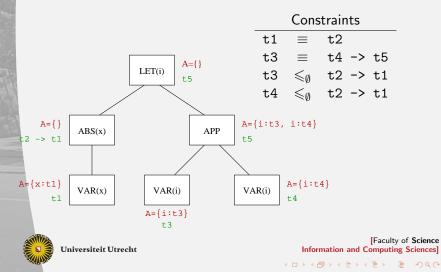


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$$identity =$$
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$$identity =$$
let $i = \langle x \rightarrow x$ in $i i$

$$\mathcal{C} = \begin{cases} t1 \equiv t2 \\ t3 \equiv t4 \rightarrow t5 \\ t3 \leqslant_{\emptyset} t2 \rightarrow t1 \\ t4 \leqslant_{\emptyset} t2 \rightarrow t1 \end{cases}$$

$$\mathcal{S} = \begin{cases} t1 := t2 \\ t3 := (t6 \rightarrow t6) \rightarrow t6 \rightarrow t6 \\ t4, t5 := t6 \rightarrow t6 \end{cases}$$

➤ S satisfies C (moreover, S is a minimal substitution that satisfies C). As a result, we have inferred the type

$$S(t5) = t6 \rightarrow t6$$

for identity.

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III. Type Inferencing in Helium



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The Helium compiler

- Constraint based approach to type inferencing
- Implements many heuristics, multiple solvers
- Existing algorithms/implementations can be emulated

cabal install helium cabal install lvmrun

- Only: Haskell 98 minus type class and instance definitions
- And bias still exists from early binding groups to later ones
 - Others have addressed this issue



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- Only: Haskell 98 minus type class and instance definitions
- And bias still exists from early binding groups to later ones
 - Others have addressed this issue
- Supports domain specific type error diagnosis
- Details of the type rules: see Bastiaan Heeren's PhD



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Some important compiler flags

--overloading and --no-overloading

- --enable-logging, --host and --port
- --algorithm-w and --algorithm-m
- --experimental gives many more flags
 - --kind-inferencing
 - --select-cnr to select a particular constraint for blame
 - flags for choosing a particular solver
 - many other treewalks for ordering constraints



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Constraints generated by Helium

For the program,

$$allinc = \langle xs \rightarrow map (+1) xs \rangle$$

Helium generates (-d option)v5 := Inst(forall a b. $(a \rightarrow b) \rightarrow [a] \rightarrow [b])$ v9 := Inst(forall a. Num a => a -> a -> a) Int == v10 : {literal} $v9 == v8 \rightarrow v10 \rightarrow v7$: {infix application} $v8 \rightarrow v7 == v6$: {left section} v3 == v11 : {variable} v5 == v6 -> v11 -> v4 : {application} $v3 \rightarrow v4 == v2$: {lambda abstraction} v2 == v0 : {right-hand side} v0 == v1 : {right hand side} s22 := Gen([], v1) : {Generalize allinc} Faculty of Science Universiteit Utrecht Information and Computing Sciences *ロト * 得 * * ミ * * ミ * う * の * や

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Greedy constraint solver

Given a set of type constraints, the greedy constraint solver returns a substitution that satisfies these constraints, and a list of constraint that could not be satisfied by the solver. The latter is used to produce type error messages.

- Advantages:
 - Efficient and fast
 - Straightforward implementation
- Disadvantage:
 - The order of the type constraints strongly influences the reported error messages. The type inference process is biased.



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Ordering type constraints

- One is free to choose the order in which the constraints should be considered by the greedy constraint solver. (Although there is a restriction for an implicit instance constraint)
- Instead of returning a list of constraints, return a constraint tree that follows the shape of the AST.
- A tree-walk flattens the constraint tree and orders the constraints.
 - \mathcal{W} : almost a post-order tree walk
 - \mathcal{M} : almost a pre-order tree walk
 - Bottom-up: ...
 - Pushing down type signatures: ...



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A realistic type rule

Some constraints 'belong' to certain subexpressions:

- c₁ is generated by the conditional, but associated with the boolean subexpression.
- ► Example strategy: left-to-right, bottom-up for then and else part, push down *Bool* (do *c*₁ before *T*_{C1}).



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Global constraint solver

Uses type graphs allow us to solve the collected type constraints in a more global way. These can represent inconsistent sets of constraints.

- Advantages:
 - Global properties can be detected
 - A lot of information is available
 - The type inference process can be unbiased
 - It is easy to include new heuristics to spot common mistakes.
- Disadvantage:
 - Extra overhead makes this solver a bit slower
 - But: only for the first inconsistent binding group!

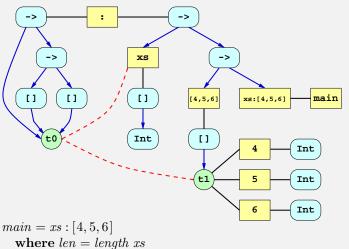


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Type graphs (for xs: [4, 5, 6]**)**



xs = [1, 2, 3]

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Type graph heuristics

If a type graph contains an inconsistency, then heuristics help to choose which location is reported as type incorrect.

- Examples:
 - minimal number of type errors
 - count occurrences of clashing type constants (3×Int versus 1×Bool)
 - reporting an expression as type incorrect is preferred over reporting a pattern
 - wrong literal constant (4 versus 4.0)
 - not enough arguments are supplied for a function application
 - permute the elements of a tuple
 - (:) is used instead of (++)



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Heuristics in Helium

listOfHeuristics options siblings path = [avoidForbiddenConstraints -- remove constraints that should NEVER be reported , highParticipation 0.95 path , phaseFilter -- phasing from the type inference directives ++[Heuristic (Voting ([siblingFunctions siblings , siblingLiterals, application Heuristic, variableFunction -- ApplicationHeuristic without application , *tupleHeuristic* -- ApplicationHeuristic for tuples , fbHasTooManyArguments, constraintFromUser path -- From .type files , unaryMinus (Overloading'elem'options) 1 + +similarNegation | Overloading'notElem'options] ++ [unifierVertex | UnifierHeuristics'elem'options]))] ++ [inPredicatePath | Overloading'elem'options] ++avoidApplicationConstraints, avoidNegationConstraints $, avoid {\it Trusted Constraints}, avoid {\it Folklore Constraints}$, firstComeFirstBlamed -- Will delete all except the first



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The Helium message

main = xs : [4, 5, 6]where $len = length \ xs$ xs = [1, 2, 3]

(2,9): Warning: Definition "len" is not used (1,11): Type error in constructor expression : : type : a -> [a] -> [a] expected type : [Int] -> [Int] -> b probable fix : use ++ instead



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Example: permute function arguments

test :: Parser Char String
test = option "" (token "hello!")

In Helium:

(2,8): Type error	in application
expression	: option "" (token "hello!")
term	: option
type	: Parser a b -> b -> Parser a b
does not match	: String -> Parser Char String -> c
probable fix	: flip the arguments



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Limitations of Helium

- The Helium language is relatively small
- A major limitation of the type inference process: consistent binding groups are never blamed.

 $\begin{array}{l} myfold \ f \ z \ [] = [z] \\ myfold \ f \ z \ (x:xs) = myfold \ f \ (f \ z \ x) \ xs \\ rev = myfold \ (flip \ (:)) \ [] \\ palin :: Eq \ a \Longrightarrow [a] \longrightarrow Bool \\ palin \ xs = rev \ xs == xs \end{array}$

 Helium blames *palin*, some other systems can blame *myfold* instead. Signatures for *rev* and *myfold* improve Helium's message.

 Note: we use our intuition of what rev and palin do, a compiler (typically) cannot.
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We have described a parametric type inferencer

- Constraint-based: specification and implementation are separated
- Standard algorithms can be simulated by choosing an order for the constraints
- Two implementations are available to solve the constraints
- Type graph heuristics help in reporting the most likely mistake

