Formal libraries for Algebraic Topology: status report¹

ForMath La Rioja node (Jónathan Heras)

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 - Julio Rubio



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• Formalization of libraries for Algebraic Topology



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 Formalization of libraries for Algebraic Topology • Application: Study of digital images

Applying topological concepts to analyze images





F. Ségonne, E. Grimson, and B. Fischl. Topological Correction of Subcortical Segmentation. International Conference on Medical Image Computing and Computer Assisted Intervention, MICCAI 2003, LNCS 2879, Part 2, pp. 695-702.

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- 2 Computing in Algebraic Topology
- Isomalizing Algebraic Topology
- Incidence simplicial matrices formalized in SSREFLECT
- **5** Conclusions and Further Work

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- 5 Conclusions and Further Work

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From General Topology to Algebraic Topology





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Let α and β be simplices over V, we say α is a face of β if α is a subset of β .





Definition

Let α and β be simplices over V, we say α is a face of β if α is a subset of β .

Definition

An ordered (abstract) simplicial complex over V is a set of simplices \mathcal{K} over V satisfying the property:

$$\forall \alpha \in \mathcal{K}, \text{ if } \beta \subseteq \alpha \Rightarrow \beta \in \mathcal{K}$$

Let \mathcal{K} be a simplicial complex. Then the set $S_n(\mathcal{K})$ of n-simplices of \mathcal{K} is the set made of the simplices of cardinality n + 1.

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V = (0, 1, 2, 3, 4, 5, 6) $\mathcal{K} = \{\emptyset, (0), (1), (2), (3), (4), (5), (6),$ (0, 1), (0, 2), (0, 3), (1, 2), (1, 3), (2, 3), (3, 4), (4, 5), (4, 6), (5, 6), $(0, 1, 2), (4, 5, 6)\}$

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The facets are: $\{(0,3), (1,3), (2,3), (3,4), (0,1,2), (4,5,6)\}$

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Chain Complexes





Homology



Given a chain complex $C_* = (C_q, d_q)_{q \in \mathbb{Z}}$:

- $d_{q-1} \circ d_q = 0 \Rightarrow B_q \subseteq Z_q$
- Every boundary is a cycle
- The converse is not generally true

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Homology



Given a chain complex $C_* = (C_q, d_q)_{q \in \mathbb{Z}}$:

- $d_{q-1} \circ d_q = 0 \Rightarrow B_q \subseteq Z_q$
- Every boundary is a cycle
- The converse is not generally true

Definition

Let $C_* = (C_q, d_q)_{q \in \mathbb{Z}}$ be a chain complex. For each degree $n \in \mathbb{Z}$, the n-homology module of C_* is defined as the quotient module

$$H_n(C_*) = \frac{Z_n}{B_n}$$

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From Simplicial Complexes to Chain Complexes



Let \mathcal{K} be an (ordered abstract) simplicial complex. Let $n \ge 1$ and $0 \le i \le n$ be two integers n and i. Then the face operator ∂_i^n is the linear map $\partial_i^n : S_n(\mathcal{K}) \to S_{n-1}(\mathcal{K})$ defined by:

$$\partial_i^n((v_0,\ldots,v_n))=(v_0,\ldots,v_{i-1},v_{i+1},\ldots,v_n).$$

The i-th vertex of the simplex is removed, so that an (n-1)-simplex is obtained.

Definition

Let \mathcal{K} be a simplicial complex. Then the chain complex $C_*(\mathcal{K})$ canonically associated with \mathcal{K} is defined as follows. The chain group $C_n(\mathcal{K})$ is the free \mathbb{Z} module generated by the n-simplices of \mathcal{K} . In addition, let (v_0, \ldots, v_{n-1}) be a n-simplex of \mathcal{K} , the differential of this simplex is defined as:

$$d_n := \sum_{i=0}^n (-1)^i \partial_i^n$$

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Simplification: Perturbation techniques



Theorem

If $C_* \Rightarrow D_*$, then $C_* \cong D_* \oplus A_*$, with A_* acyclic, which implies that $H_n(C_*) \cong H_n(D_*)$ for all n.

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Simplification: Perturbation techniques





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Simplification: Perturbation techniques



Easy Perturbation Lemma (EPL)

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Simplification: Perturbation techniques



Easy Perturbation Lemma (EPL)

Basic Perturbation Lemma (BPL)

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Simplification: Discrete Morse Theory





Simplification: Discrete Morse Theory



• Critical cells



Simplification: Discrete Morse Theory



- Discrete Morse Theory:
 - Discrete vector fields DVF
 - Critical cells
 - From a chain complex C_{*} and a DVF V on C_{*} constructs a reduction from C_{*} to C^c_{*} where generators of C^c_{*} are the critical cells of C_{*} with respect to V

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Simplification: Discrete Morse Theory



A. Romero, F. Sergeraert. Discrete Vector Fields and Fundamental Algebraic Topology. http://arxiv.org/abs/1005.5685.

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Computing



Homology groups are obtained from a diagonalization process



Computing



J. Rubio and F. Sergeraert. Constructive Algebraic Topology, Bulletin des Sciences Mathématiques, 126:389-412, 2002.

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Digital Images



- 3D digital images:
 - elements are voxels



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From a digital image to simplicial complexES



R. Ayala, E. Domínguez, A.R. Francés, A. Quintero. Homotopy in digital spaces. Discrete Applied Mathematics 125 (2003) 3-24.

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Computing in Algebraic Topology

Computing in Algebraic Topology



Demonstration *fKenzo*: user interface for Sergeraert's Kenzo system

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Formalizing Algebraic Topology

Formalization of Simplicial Complexes

	ge Simplicial Complex → Chain Complex	——► Homology
• For	rmalized in ACL2	
	J. Heras, V. Pascual and J. Rubio. ACL2 verification of Simplicial Complexe Kenzo system. Preprint	s programs for the
• For	rmalization in Coq/SSReflect	
	Y. Bertot, L. Rideau and ForMath La Rioja node. Technical report on a SSF	Reflect week.
	http://wiki.portal.chalmers.se/cse/pmwiki.php/ForMath/ForMath.	



Formalization of Chain Complexes



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From Simplicial Complexes to Chain Complexes

Simplicial Complex—— Chain Complex –

Simplicial Complexes \rightarrow Simplicial Sets

Formalized in ACL2

J. Heras, V. Pascual and J. Rubio, Proving with ACL2 the correctness of simplicial sets in the Kenzo system. In LOPSTR 2010, Lecture Notes in Computer Science. Springer-Verlag.



From Simplicial Complexes to Chain Complexes

Simplicial Complex—— Chain Complex—

Simplicial Complexes \rightarrow Simplicial Sets \rightarrow Chain Complexes

Formalized in ACL2

J. Heras, V. Pascual and J. Rubio, Proving with ACL2 the correctness of simplicial sets in the Kenzo system. In LOPSTR 2010, Lecture Notes in Computer Science. Springer-Verlag.

Formalized in ACL2

L. Lambán, F. J. Martín-Mateos, J. L. Ruiz-Reina and J. Rubio. When first order is enough: the case of Simplicial Topology, Preprint,

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Simplification: Reductions



L. Lambán, F. J. Martín-Mateos, J. L. Ruiz-Reina and J. Rubio. When first order is enough: the case of Simplicial Topology. Preprint.



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Simplification: Perturbation techniques



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Simplification: Perturbation techniques



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Formalizing Algebraic Topology

Simplification: Discrete Morse Theory



- Formalization of Discrete Morse Theory:
 - Work in progress



Homology Groups





Formalizing Algebraic Topology

Formalization of digital images



• Formalized in Coq:

R. O'Connor. A Computer Verified Theory of Compact Sets. In SCSS 2008, RISC Linz Report Series.

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Formalizing Algebraic Topology

From Digital Images to Simplicial Complexes

Digital Image --Simplicial Complex-

• Elements of digital images \rightsquigarrow Facets of a Simplicial Complex

Future work



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From Simplicial Complexes to Homology



SSReflect

- SSReflect:
 - Extension of Coq
 - Developed while formalizing the Four Color Theorem
 - Provides new libraries:

SSReflect

SSReflect:

- Extension of Coq
- Developed while formalizing the Four Color Theorem
- Provides new libraries:
 - matrix.v: matrix theory
 - finset.v and fintype.v: finite set theory and finite types
 - bigops.v: indexed "big" operations, like $\sum_{i=0}^{n} f(i)$ or $\bigcup_{i \in I} f(i)$
 - zmodp.v: additive group and ring \mathbb{Z}_p

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Representation of Simplicial Complexes in $\operatorname{SSReflect}$

Definition

Let V be a finite ordered set, called the vertex set, a simplex over V is any finite subset of V.



Definition simplex := {set V}.

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Representation of Simplicial Complexes in SSReflect

Definition

Let V be a finite ordered set, called the vertex set, a simplex over V is any finite subset of V.

Definition

A finite ordered (abstract) simplicial complex over V is a finite set of simplices K over V satisfying the property:

$\forall \alpha \in \mathcal{K}, \text{ if } \beta \subseteq \alpha \Rightarrow \beta \in \mathcal{K}$

```
Variable V : finType.
```

```
Definition simplex := {set V}.
```

```
Definition good_sc (c : {set simplex}) :=
   forall x, x \in c -> forall y : simplex, y \subset x -> y \in c.
```

Definition

Let X and Y be two ordered finite sets of simplices, we call incidence matrix to a matrix $m \times n$ where

$$m = \sharp |X| \land n = \sharp |Y|$$

$$Y[1] \cdots Y[n]$$

$$X[1]$$

$$M = :$$

$$X[m]$$

$$\begin{pmatrix} a_{1,1} \cdots a_{1,n} \\ \vdots & \ddots & \vdots \\ a_{m,1} \cdots & a_{m,n} \end{pmatrix}$$

$$\mathsf{a}_{i,j} \quad = \quad \left\{ \begin{array}{ll} 1 & if \ X[i] \text{ is a face of } Y[j] \\ 0 & if \ X[i] \text{ is not a face of } Y[j] \end{array} \right.$$

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Definition

Let X and Y be two ordered finite sets of simplices, we call incidence matrix to a matrix $m \times n$ where

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$$\mathsf{a}_{i,j} \quad = \quad \left\{ \begin{array}{ll} 1 & if \ X[i] \ is \ a \ face \ of \ Y[j] \\ 0 & if \ X[i] \ is \ not \ a \ face \ of \ Y[j] \end{array} \right.$$

Lemma lt12 : 1 < 2. Proof. by done. Qed. Definition Z2_ring := (Zp_ring lt12). Lemma p : 0 < 2. Proof. by done. Qed. Definition p_0_2 := inZp p 0. Definition p_1_2 := inZp p 1.

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Definition

Let X and Y be two ordered finite sets of simplices, we call incidence matrix to a matrix $m \times n$ where

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$$\begin{pmatrix} a_{1,1} \cdots a_{1,n} \\ \vdots & \ddots & \vdots \\ a_{m,1} \cdots & a_{m,n} \end{pmatrix}$$

$$\mathsf{a}_{i,j} = \left\{ egin{array}{cc} 1 & if X[i] ext{ is a face of } Y[j] \ 0 & if X[i] ext{ is not a face of } Y[j] \end{array}
ight.$$

Variables Top Left:{set simplex}.

Definition seq_SS (SS: {set simplex}):= enum (mem SS) : seq simplex.

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Definition

Let X and Y be two ordered finite sets of simplices, we call incidence matrix to a matrix $m \times n$ where

$$m = \sharp |X| \land n = \sharp |Y|$$

$$Y[1] \cdots Y[n]$$

$$X[1]$$

$$M = :$$

$$X[m]$$

$$\begin{pmatrix} a_{1,1} \cdots a_{1,n} \\ \vdots & \ddots & \vdots \\ a_{m,1} \cdots & a_{m,n} \end{pmatrix}$$

$$\mathsf{a}_{i,j} \quad = \quad \left\{ \begin{array}{ll} 1 & if \ X[i] \ is \ a \ face \ of \ Y[j] \\ 0 & if \ X[i] \ is \ not \ a \ face \ of \ Y[j] \end{array} \right.$$

Definition incidenceFunction (i i : nat) := if (nth x0 (seq_SS Left) i) \subset (nth x0 (seq_SS Top) j) then p_1_2 else p_0_2. Definition incidenceMatrix := matrix_of_fun incidenceFunction (m:=size (seq_SS Left)) (n:=size (seq_SS Top)) (R:=Z2_ring). くらう 人物 とくさい くさい しろ 35/46

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Definition

Let C be a finite set of simplices, A be the set of n-simplices of C with an order between its elements and B the set of (n - 1)-simplices of C with an order between its elements.

We call incidence matrix of dimension n (n \geq 1), to a matrix p \times q where

$$p = \sharp |B| \land q = \sharp |A|$$

$$M_{i,j} = \begin{cases} 1 & if B[i] \text{ is a face of } A[j] \\ 0 & if B[i] \text{ is not a face of } A[j] \end{cases}$$

Variable c: {set simplex}.

Variable n:nat.

```
Definition top_n := [set x \in |\#|x| == n+1] : {set simplex}.
```

```
Definition left_n_1 := [set x \in |\#|x| == n] : {set simplex}.
```

Definition incidence_matrix_n := incidenceMatrix top_n left_n_1.

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Incidence Matrices of Simplicial Complexes



	(0, 1)	(0, 2)	(0, 3)	(1, 2)	(1, 3)	(2, 3)	(3, 4)	(4, 5)	(4,6)	(5, 6)
(0)	/ 1	1	1	0	0	0	0	0	0	0 \
(1)	1	0	0	1	1	0	0	0	0	0
(2)	0	1	0	1	0	1	0	0	0	0
(3)	0	0	1	0	1	1	1	0	0	0
(4)	0	0	0	0	0	0	1	1	1	0
(5)	0	0	0	0	0	0	0	1	0	1
(6)	\ 0	0	0	0	0	0	0	0	1	1 /

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Incidence Matrices of Simplicial Complexes



(0, 1)

(1, 2)(1, 3)

(2, 3)

(3, 4)

(4, 5)

(4, 6)

(5, 6)

(0, 1, 2)(4, 5, 6)1 (0, 2) (0, 3) 1 0 0 0

0

0

0

0

1

1

1

1

0

0

0

0

0

0

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Product of two consecutive incidence matrices in \mathbb{Z}_2

Theorem (Product of two consecutive incidence matrices in \mathbb{Z}_2)

Let \mathcal{K} be a finite simplicial complex over V with an order between the simplices of the same dimension and let $n \geq 1$ be a natural number n, then the product of the n-th incidence matrix of \mathcal{K} and the (n+1)-incidence matrix of \mathcal{K} over the ring $\mathbb{Z}/2\mathbb{Z}$ is equal to the null matrix.

Theorem incidence_matrices_sc_product:
forall (V:finType)(n:nat)(sc: {set (simplex V)}), good_sc sc -> n >= 1 ->
<pre>mulmx (R:=Z2_ring) (incidence_matrix_n sc n) (incidence_matrix_n sc (n+1)) =</pre>
<pre>null_mx Z2_ring (size (seq_SS (left_n_1 sc n))) (size (seq_SS (top_n sc (n+1)))).</pre>



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- Let S_{n+1} be the set of (n + 1)-simplices of \mathcal{K} with an order between its elements
- Let S_n be the set of *n*-simplices of \mathcal{K} with an order between its elements
- Let S_{n-1} be the set of (n-1)-simplices of \mathcal{K} with an order between its elements

- Let S_{n+1} be the set of (n + 1)-simplices of \mathcal{K} with an order between its elements
- Let S_n be the set of *n*-simplices of \mathcal{K} with an order between its elements
- Let S_{n-1} be the set of (n-1)-simplices of \mathcal{K} with an order between its elements

$$S_{n}[1] \cdots S_{n}[r1] \qquad S_{n+1}[1] \cdots S_{n+1}[r3]$$

$$S_{n-1}[1] \qquad \begin{pmatrix} a_{1,1} \cdots a_{1,r1} \\ \vdots & \ddots & \vdots \\ a_{r-1}[r2] \end{pmatrix}, M_{n+1}(\mathcal{K}) = \vdots \\ a_{r2,1} \cdots a_{r2,r1} \end{pmatrix}, M_{n+1}(\mathcal{K}) = \vdots \\ S_{n}[r1] \qquad \begin{pmatrix} b_{1,1} \cdots b_{1,r1} \\ \vdots & \ddots & \vdots \\ b_{r1,1} \cdots & b_{r1,r3} \end{pmatrix}$$

where $r1 = \sharp |S_n|$, $r2 = \sharp |S_{n-1}|$ and $r3 = \sharp |S_{n+1}|$

$$M_n(\mathcal{K}) \times M_{n+1}(\mathcal{K}) = \begin{pmatrix} c_{1,1} & \cdots & c_{1,r_3} \\ \vdots & \ddots & \vdots \\ c_{r_{2,1}} & \cdots & c_{r_{2,r_3}} \end{pmatrix}$$

where

$$c_{i, j} = \sum_{1 \le j 0 \le r 1} a_{i, j 0} \times b_{j 0, j}$$

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$$M_n(\mathcal{K}) \times M_{n+1}(\mathcal{K}) = \begin{pmatrix} c_{1,1} & \cdots & c_{1,r3} \\ \vdots & \ddots & \vdots \\ c_{r2,1} & \cdots & c_{r2,r3} \end{pmatrix}$$

where

$$c_{i,\ j} = \sum_{1 \leq j 0 \leq r1} a_{i,\ j0} imes b_{j0,\ j}$$

we need to prove that

$$\forall i, j, c_{i, j} = 0$$

in order to prove that $M_n \times M_{n+1} = 0$

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$$\sum_{1 \leq j0 \leq r1} a_{i, j0} \times b_{j0, j} = (\sum_{j0 \mid M_{n-2}[i] \subset M_{n-1}[j0] \land M_{n-1}[j0] \subset M_{n}[j]} 1) + 0 + 0 + 0$$

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$$\sum_{1 \leq j 0 \leq r1} a_{i, \ j0} \times b_{j0, \ j} \quad = \quad (\sum_{j 0 \mid M_{n-2}[i] \subset M_{n-1}[j0] \land M_{n-1}[j0] \subset M_n[j]} 1) + 0 + 0 + 0$$

 $\sum_{1 \leq j 0 \leq r1} a_{i, j0} \times b_{j0, j} = \# |\{j0| (1 \leq j0 \leq r1) \land (S_{n-1}[i] \subset S_n[j0]) \land (S_n[j0] \subset S_{n+1}[j])\}|$

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$\sharp |\{j0 \mid (1 \le j0 \le r1) \land (S_{n-1}[i] \subset S_n[j0]) \land (S_n[j0] \subset S_{n+1}[j])\}| = 0 \mod 2$



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Sketch of the proof

 $\sharp |\{j0 \mid (1 \le j0 \le r1) \land (S_{n-1}[i] \subset S_n[j0]) \land (S_n[j0] \subset S_{n+1}[j])\}| = 0 \mod 2$ • $S_{n-1}[i] \not\subset S_{n+1}[j] \Rightarrow$ $\sharp |\{j0 \mid (1 \le j0 \le r1) \land (S_{n-1}[i] \subset S_n[j0]) \land (S_n[j0] \subset S_{n+1}[j])\}| = 0$ Otherwise, $\exists k$ such that $S_{n-1}[i] \subset S_n[k] \subset S_{n+1}[j]$



Sketch of the proof

 $\begin{aligned} & \#|\{j0 \mid (1 \le j0 \le r1) \land (S_{n-1}[i] \subset S_n[j0]) \land (S_n[j0] \subset S_{n+1}[j])\}| = 0 \mod 2\\ & \bullet \ S_{n-1}[i] \not\subset S_{n+1}[j] \Rightarrow \\ & \#|\{j0 \mid (1 \le j0 \le r1) \land (S_{n-1}[i] \subset S_n[j0]) \land (S_n[j0] \subset S_{n+1}[j])\}| = 0\\ & \text{Otherwise, } \exists k \text{ such that } S_{n-1}[i] \subset S_n[k] \subset S_{n+1}[j]\\ & \bullet \ S_{n-1}[i] \subset S_{n+1}[j] \Rightarrow \\ & \#|\{j0 \mid (1 \le j0 \le r1) \land (S_{n-1}[i] \subset S_n[j0]) \land (S_n[j0] \subset S_{n+1}[j])\}| = 2 \end{aligned}$

$$S_{n+1}[j] = (v_0, \ldots, v_{n+1})$$

$$S_{n-1}[i] = (v_0, \ldots, \widehat{v_i}, \ldots, \widehat{v_j}, \ldots, v_{n+1})$$

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Sketch of the proof

$$\begin{aligned} \|\{j0 \mid (1 \le j0 \le r1) \land (S_{n-1}[i] \subset S_n[j0]) \land (S_n[j0] \subset S_{n+1}[j])\}\| &= 0 \mod 2 \\ \bullet \ S_{n-1}[i] \not\subset S_{n+1}[j] \Rightarrow \\ \|\{j0 \mid (1 \le j0 \le r1) \land (S_{n-1}[i] \subset S_n[j0]) \land (S_n[j0] \subset S_{n+1}[j])\}\| &= 0 \end{aligned}$$

$$\begin{aligned} \text{Otherwise, } \exists k \text{ such that } S_{n-1}[i] \subset S_n[k] \subset S_{n+1}[j] \\ \bullet \ S_{n-1}[i] \subset S_{n+1}[j] \Rightarrow \\ \|\{j0 \mid (1 \le j0 \le r1) \land (S_{n-1}[i] \subset S_n[j0]) \land (S_n[j0] \subset S_{n+1}[j])\}\| &= 2 \end{aligned}$$

$$\begin{aligned} S_{n+1}[j] &= (v_0, \dots, v_{n+1}) \\ S_n[k_1] &= (v_0, \dots, v_{n+1}) \end{aligned}$$

$$\begin{aligned} S_{n-1}[i] &= (v_0, \dots, v_{n+1}) \\ S_{n-1}[i] &= (v_0, \dots, v_{n+1}) \end{aligned}$$

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<pre>CincidenceMatricesSimplicialComplexes-vifacets.v</pre> <pre>ewrite (bigID cond (fun ⇒ true) prod) /=, ewrite (big1 (fun i0 ∞ -cond 10)). by move ⇒ 10 H; rewrite /prod Inut (incidenceE H); apply : v ewrite 6 Ring.addro /prod /incidence matrix, n /incidenceMatrix, et cond2 := (fun 11: '[(size (seq SS (top n sc n))) ⇒ nth (x0 V) (seq SS (left_n_1 sc (n + 1))) il \subset nth (x ewrite (bigID cond2 (fun i0 ∞ cond 10) prod)/= (bigI (fun move ⇒ 10; case/andP ⇒ H; rewrite (prod InutE (incidenceE F) by rewrite 6 Ring.nutfc: apoly(, y all ini.</pre>	nth (x0 V) [seq_S5 (left_n 1 sc n)) i (subset nth (x0 V) [seq_S5 (top_n sc n)) j0 : 1 (size (seq_S5 (top_n sc n)) > bol) prod := fing 0 := 1 (size (seq_S5 (top_n sc n)) ⇒ bol) : 1 (size (seq_S5 (top_n sc n)) ⇒ fing 0 = 0 : 1 (size (seq_S5 (top_n sc n))) → ofking.combuitRing.rin gType 22_ring (1/1) (sum (i0 < size (seq_S5 (top_n sc n))) → cond 10 prod 10 + (sum (i0 < size (seq S5 (top_n sc n))) → cond 10 prod 10 + (sum (i0 < size (seq S5 (top_n sc n))) → cond 10 prod 10 % R = 008

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- Summation part: Quite direct
 - Lemmas from "bigops" library

• bigID:
$$\sum_{i \in r \mid P_i} F_i = \sum_{i \in r \mid P_i \land a_i} F_i + \sum_{i \in r \mid P_i \land \sim a_i} F_i$$

• big1:
$$\sum_{i \in r | P_i} 0 = 0$$

- Cardinality part: More details are needed
 - Auxiliary lemmas
 - Lemmas from "finset" and "fintype" libraries



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Conclusions and Further Work

Conclusions:

- Application of Algebraic Topology to the analysis of Digital Images
- Implemented in a Software System
- Partially formalized with Theorem Proving tools

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• Future Work:

- Formalization: Digital Images to Simplicial Complexes
- Formalization of Discrete Morse Theory
- Formalization of computation of Homology groups
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Formal libraries for Algebraic Topology: status report

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Departamento de Matemáticas y Computación Universidad de La Rioja Spain

Mathematics, Algorithms and Proofs 2010 November 10, 2010

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