

Combining formalization and computation in Coq: a case-study with algebraic structures¹

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Algebraic computing, soft computing, and program verification

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- 1 Introduction.
- 2 A formalization in Coq of a hierarchy of data structures.
- 3 Some proofs and some instances.
- 4 Computing with instances in Coq.
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- A hierarchy of algebraic (graded and infinite dimensional) data structures are required.
- A sound and useful working representation in Coq: providing instances and proving theorems.

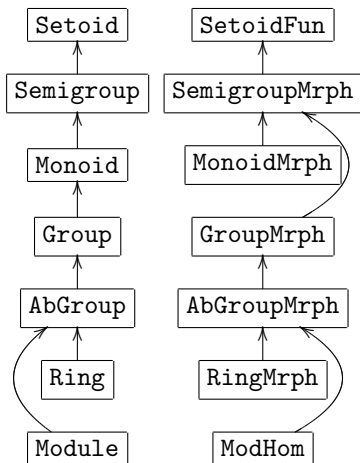
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- A hierarchy of algebraic (graded and infinite dimensional) data structures are required.
- A sound and useful working representation in Coq: providing instances and proving theorems.
- Constructive type theory allows proofs of computable terms.
Idea: test and then formalize on particular instances.

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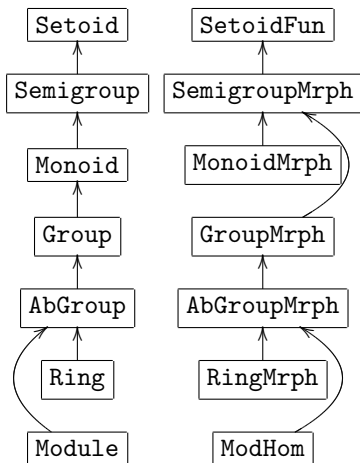
Basic algebraic structures from the CoRN repository

Formalization built on CoRN structures (in a simpler setting: setoids without apartness).



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A new formulation of these structures is now in process.

Definitions for (constructive) free structures

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- Let R be a ring. Given a set B , we consider the *free R -module generated by B* , denoted by $R[B]$.
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- A *graded free R -module* is a family of free R -modules $\{M_i\}_{i \in \mathbb{Z}}$.
- A *chain complex* is a pair $(\{M_i\}_{i \in \mathbb{Z}}, \{d_i\}_{i \in \mathbb{Z}})$ where $\{M_i\}_{i \in \mathbb{Z}}$ is graded free module and $\{d_i: M_{i+1} \rightarrow M_i\}_{i \in \mathbb{Z}}$ is a family of module morphisms, called *differential operator*, such that $d_i \circ d_{i+1} = 0$ for all $n \in \mathbb{Z}$.

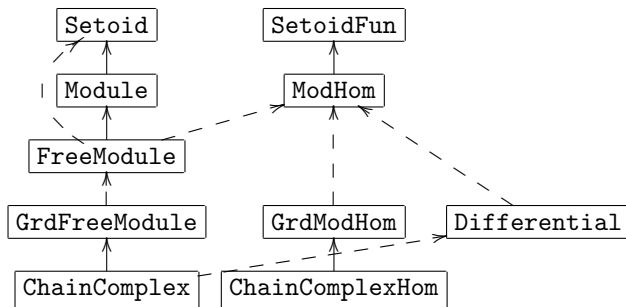
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- Given a ring R : `Ring`, a graded module can be formalized in Coq with the following type: `Z -> FreeModule R`.

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- Record `ChainComplex`: `Type :=`
 `{GrdMod:> Z -> FreeModule R;`
 `Diff: forall i: Z,`
 `ModHom (R:=R) (GrdMod (S i)) (GrdMod i);`
 `NilpotenceDiff: forall i: Z,`
 `(Nilpotence (Diff i)(Diff (S i)))}`.
- where the differential (nilpotence) property is defined by
 `Nilpotence(g: ModHom B C)(f: ModHom A B) :=`
 `forall a: A, ((g[oh]f) a) [=]Zero.`

A hierarchy of data structures



Reductions

A *reduction* is a 5-tuple (TCC, BCC, f, g, h)

$$h \left(\begin{array}{ccc} & \xrightarrow{f} & \\ TCC & & BCC \\ & \xleftarrow{g} & \end{array} \right)$$

where $TCC = (M, d)$ and $BCC = (M', d')$ are chain complexes (named *top* and *bottom* chain complex), $f: TCC \rightarrow BCC$ and $g: BCC \rightarrow TCC$ are chain morphisms, $h = (h_i: M_i \rightarrow M'_{i+1})_{i \in \mathbb{Z}}$ is a family of module morphisms (called *homotopy operator*), which satisfy the following properties for all $i \in \mathbb{Z}$:

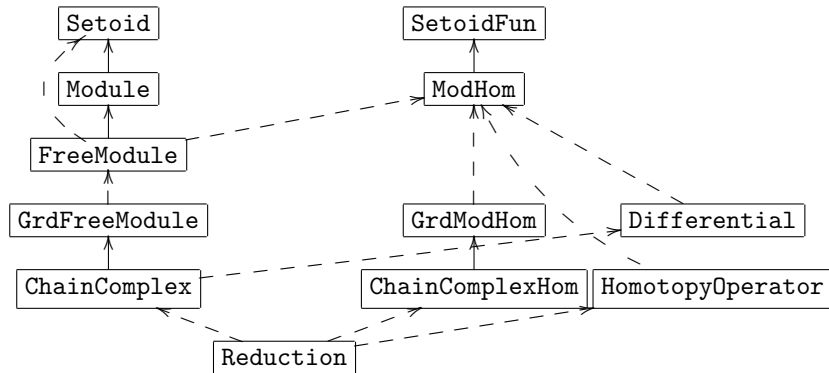
- 1 $f_i \circ g_i = id_{M'_i}$
- 2 $d_{i+1} \circ h_{i+1} + h_i \circ d_i + g_{i+1} \circ f_{i+1} = id_{M_{i+1}}$
- 3 $f_{i+1} \circ h_i = 0$
- 4 $h_i \circ g_i = 0$
- 5 $h_{i+1} \circ h_i = 0$

Reductions in Coq

- In Coq, this concept is again formalized as a record:

```
Record Reduction:Type:=
  {topCC: ChainComplex R;
   bottomCC: ChainComplex R;
   f_t_b: ChainComplex_hom topCC bottomCC;
   g_b_t: ChainComplex_hom bottomCC topCC;
   h_t_t: HomotopyOperator topCC;
   rp1: forall (i: Z)(a:(bottomCC i)),
        ((f_t_b i)[oh](g_b_t i))a[=]a;
   ...
```

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- A *free* R -module M is of *finite type* if its set of generators is finite.
- These definitions extend and apply naturally to graded modules, chain complexes, chain morphisms, ...
- A chain complex CC with effective homology is a reduction (CC, FCC, f, g, h) where FCC is a *free of finite type* chain complex.

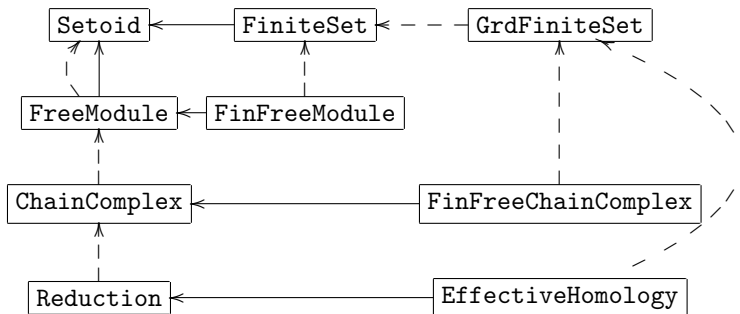
Free of finite type modules

```
Record FinFreeModule: Type :=
  {FFM :> FreeModule R;
   Finite_SetoidFFM: Finite_Set;
   equal_FFM: (is_FFModule FFM Finite_SetoidFFM)
  }.
```

```
Definition is_FFModule(FM:FreeModule R)(X:Finite_Set):=
  SGen(FM) = X.
```

SGen = Set of generators of the FreeModule.

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Mapping cones

Definition

Given a pair of chain complexes $CC = ((M_i)_{i \in \mathbb{Z}}, (d_i)_{i \in \mathbb{Z}})$ and $CC' = ((M'_i)_{i \in \mathbb{Z}}, (d'_i)_{i \in \mathbb{Z}})$ and a chain complex morphism $\alpha: CC \rightarrow CC'$, the *cone* of α , denoted by $Cone(\alpha)$, is a chain complex $((M''_i)_{i \in \mathbb{Z}}, (d''_i)_{i \in \mathbb{Z}})$ such that, for each $i \in \mathbb{Z}$, $M''_i = M_i \oplus M'_{i+1}$ and $d''_i(x, x') = (-d_i(x), d'_{i+1}(x') + \alpha_{i+1}(x))$ for any $x \in M_{i+1}$ and $x' \in M'_{i+2}$.

$$\begin{array}{cccccccccccc} \cdots & \xleftarrow{d_{-3}} & M_{-2} & \xleftarrow{d_{-2}} & M_{-1} & \xleftarrow{d_1} & M_0 & \xleftarrow{d_0} & M_1 & \xleftarrow{d_1} & M_2 & \xleftarrow{d_2} & \cdots \\ \cdots & \xleftarrow{d''_{-3}} & -x & \xleftarrow{d''_{-2}} & -x & \xleftarrow{d''_{-1}} & -x & \xleftarrow{d''_0} & -x & \xleftarrow{d''_1} & -x & \xleftarrow{d''_2} & \cdots \\ \cdots & \xleftarrow{d_{-2}} & M'_{-1} & \xleftarrow{d_{-1}} & M'_0 & \xleftarrow{d_2} & M'_1 & \xleftarrow{d_1} & M'_2 & \xleftarrow{d_2} & M'_3 & \xleftarrow{d_4} & \cdots \end{array}$$

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In Coq:

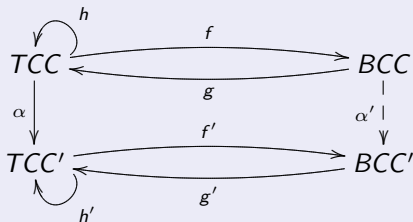
```
Definition ConeDiffGround := fun (i : Z) (ab : (ConeGround (i+1))) =>
  ([--](Diff CC1 i (fst ab)),
  ((Diff CC0 (i+1)) (snd ab) [+]) f (i+1) (fst ab)).
```

Effective homology of a mapping cone

Theorem

Given two reductions $r = (TCC, BCC, f, g, h)$ and $r' = (TCC', BCC', f', g', h')$ and a chain morphism $\alpha: TCC \rightarrow TCC'$, it is possible to define a reduction $r'' = (Cone(\alpha), BCC'', f'', g'', h'')$ with $Cone(\alpha)$ as top chain complex and:

- $BCC'' = Cone(\alpha')$ with $\alpha': BCC \rightarrow BCC'$ defined by $\alpha' = f' \circ \alpha \circ g$
- $f'' = (f, f' \circ \alpha \circ h + f')$, $g'' = (g, -h' \circ \alpha \circ g + g')$, $h'' = (-h, h' \circ \alpha \circ h + h')$



Besides, if TCC and TCC' are objects with effective homology through r and r' , then $Cone(\alpha)$ is an object with effective homology through r'' .

Effective homology of a cone, in Coq

- Given two reductions $r1$ $r2$: Reduction R, and a chain morphism between their top chain complexes
 α : ChainComplex_hom (topCC r1) (topCC r2),

Effective homology of a cone, in Coq

- Given two reductions `r1 r2: Reduction R`, and a chain morphism between their top chain complexes

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- Define a chain morphism `alpha'` between the bottom chain complexes through the function

Definition `alpha'' := fun i: Z =>`

`(f_t_b r2 i) [oh] (alpha i) [oh] (g_b_t r1 i).`

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Definition $\alpha'' := \text{fun } i : Z \Rightarrow$

(f_t_b $r2$ i) [oh] (α i) [oh] (g_b_t $r1$ i).

- Then we build a reduction between $\text{Cone}(\alpha)$ and $\text{Cone}(\alpha')$.

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```
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```

```
(f_t_b r2 i) [oh] (alpha i) [oh] (g_b_t r1 i).
```

- Then we build a reduction between `Cone(alpha)` and `Cone(alpha')`.
- For instance, the first chain morphism of the reduction is:

```
Definition f_cone_reductionGround:
```

```
forall i: Z, (Cone alpha)i -> (Cone alpha')i:=
```

```
fun (i: Z)(ab: (Cone alpha)i) => ((f_t_b r1 i)(fst ab),
```

```
((f_t_b r2 (i+1)) [oh] (alpha (i+1)) [oh]
```

```
(h_t_t r1 i))(fst ab)) [+] (f_t_b r2 (i+1))(snd ab)).
```

Instances of the structures, in Coq

- Finite Type:
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- Infinite Type:

- ▶ Module $\mathbb{Z}[\mathbb{N}]$
- ▶ The chain complex $CC^{(2)}$:

$$\begin{array}{ccc} \mathbb{Z}[\mathbb{N}] & \xleftarrow{(d^{(2)})_i} & \mathbb{Z}[\mathbb{N}] \\ x_0 & \xleftarrow{\quad} & x_0 \\ 0 & \xleftarrow{\quad} & x_1 \\ x_2 & \xleftarrow{\quad} & x_2 \\ 0 & \xleftarrow{\quad} & x_3 \\ \dots & & \dots \end{array} \qquad \begin{array}{ccc} \mathbb{Z}[\mathbb{N}] & \xleftarrow{(d^{(2)})_i} & \mathbb{Z}[\mathbb{N}] \\ 0 & \xleftarrow{\quad} & x_0 \\ x_1 & \xleftarrow{\quad} & x_1 \\ 0 & \xleftarrow{\quad} & x_2 \\ x_3 & \xleftarrow{\quad} & x_3 \\ \dots & & \dots \end{array}$$

Instances of effective homologies, in Coq

- $CC^{(1)}$ is $FCC^{(1)}$ without the finiteness condition:

$$\begin{array}{ccc} & \overset{0}{\curvearrowright} & \\ & \vee & \\ CC^{(1)} & \begin{array}{c} \xrightarrow{id} \\ \xleftarrow{id} \end{array} & FCC^{(1)} \end{array}$$

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- $h^{(2)}$ defined as the $d^{(2)}$ differential:

$$\begin{array}{ccc} \overset{(0, h^{(2)})}{\curvearrowright} & & \\ CC^{(1)} \oplus CC^{(2)} & \begin{array}{c} \xrightarrow{\pi_1} \\ \xleftarrow{(id, 0)} \end{array} & FCC^{(1)} \end{array}$$

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$$\begin{array}{ccc} & \begin{array}{c} \curvearrowright \\ (0, h^{(2)}) \\ \curvearrowleft \end{array} & \\ & \downarrow & \\ CC(1) \oplus CC(2) & \begin{array}{c} \xrightarrow{\pi_1} \\ \xleftarrow{(id, 0)} \end{array} & FCC(1) \\ & \downarrow \pi_1 & \downarrow \alpha' \\ CC(1) & \begin{array}{c} \xrightarrow{id} \\ \xleftarrow{id} \end{array} & FCC(1) \\ & \begin{array}{c} \curvearrowleft \\ 0 \\ \curvearrowright \end{array} & \end{array}$$

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 \downarrow \pi_1 & & \downarrow \alpha' \\
 CC^{(1)} & \begin{array}{c} \xrightarrow{id} \\ \xleftarrow{id} \end{array} & FCC^{(1)} \\
 & \underset{0}{\curvearrowright} &
 \end{array}$$

Effective homology of the cone of π_1

$$\begin{array}{ccc}
 & \overset{h^{Ex}}{\curvearrowright} & \\
 Cone(\pi_1) & \begin{array}{c} \xrightarrow{f^{Ex}} \\ \xleftarrow{g^{Ex}} \end{array} & Cone(\alpha')
 \end{array}$$

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Computing with instances in Coq

Looking for a contracting homotopy in $\text{Cone}(\alpha')$, i.e. a $h : \text{Cone}(\alpha') \rightarrow \text{Cone}(\alpha')$ such that $h \circ h = 0$ and $d \circ h + h \circ d = \text{id}$ in

$$\begin{array}{ccc} \text{Cone}(\pi_1) & \begin{array}{c} \xrightarrow{f^{Ex}} \\ \xleftarrow{g^{Ex}} \end{array} & \text{Cone}(\alpha') \\ \text{↻} \quad h^{Ex} & & \text{↻} \quad h \end{array}$$

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- Candidates:

- ▶ $h1 = (h1_i)_{i \in \mathbb{Z}}$, such that $h1_i(a, b) := (0, a)$, for all $i \in \mathbb{Z}$
- ▶ $h2 = (h2_i)_{i \in \mathbb{Z}}$, such that $h2_i(a, b) := (b, 0)$, for all $i \in \mathbb{Z}$

Computing with finite instances, in Coq

- Candidates in Coq:

- ▶ Definition `h1:=fun(i:Z)(c:bottomCC Example i)=>(0,fst c)`
- ▶ Definition `h2:=fun(i:Z)(c:bottomCC Example i)=>(snd c,0)`

Computing with finite instances, in Coq

- Candidates in Coq:

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- Computing in Coq ($d \circ h + h \circ d = id$) with *finite* structures:

- ▶ Eval `vm_compute` in
`((Diff (bottomCC Example) 2) [oh] (h1 2)) [+h]
((h1 1) [oh] (Diff (bottomCC Example) 1))) (5, 7).`

resulting in: `=(0, 0):bottomCC Example 2`

Computing with finite instances, in Coq

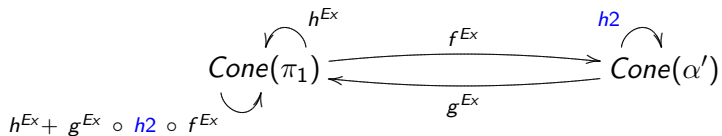
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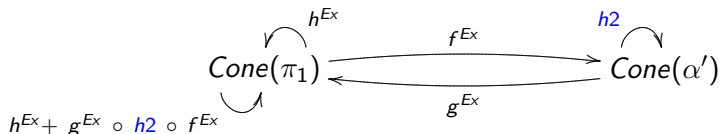
- Computing in Coq ($d \circ h + h \circ d = id$) with *finite* structures:

- ▶ Eval `vm_compute in`
`((Diff (bottomCC Example) 2)[oh](h1 2))[+h]`
`((h1 1)[oh](Diff(bottomCC Example) 1)))(5, 7).`
resulting in: `=(0, 0):bottomCC Example 2`
- ▶ Eval `vm_compute in`
`((Diff (bottomCC Example) 2)[oh](h2 2))[+h]`
`((h2 1)[oh](Diff(bottomCC Example) 1)))(5, 7).`
resulting in: `=(5, 7):bottomCC Example 2`

Computing with infinite instances, in Coq



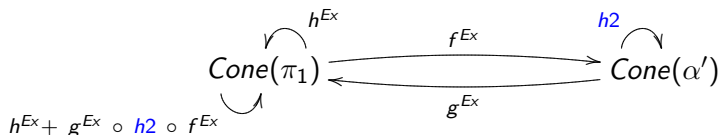
Computing with infinite instances, in Coq



- In Coq

```
Definition h_topCone := fun n: Z => (h_t_t Example) n [+h]
  (((g_b_t Example) n) [oh] (h2 n) [oh] ((f_t_b Example) n)).
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- Computing in Coq with *infinite* structures:
(e represents the element $(7 * x_4 + 8 * x_0)$).

- ▶ Eval `vm_compute` in

```
((Diff(topCC Example) 2) [oh] (h_topCone 2)) [+h]
  ((h_topCone 1) [oh] ((Diff(topCC Example) 1)))) (5, e, 3).
```

resulting in an element equal (in the setoid) to $(5, e, 3)$.

Computing with infinite instances, in Coq

Undecidable problem in general

When working with chain complexes of infinite type, if an element x is a cycle (that is to say, $d_n(x) = 0$) and the chain complex has a contracting homotopy, then there exists an element z such that $d_{n+1}(z) = x$.

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resulting in an element equal to $(5, e, 0)$.

```
Eval vm_compute in ((Diff(topCC Example) 2))(5, e, 0).
```

resulting the required element $(-10, \text{Inv } e, 5)$.

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- 2 A formalization in Coq of a hierarchy of data structures.
- 3 Some proofs and some instances.
- 4 Computing with instances in Coq.
- 5 Conclusions and further work.

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- ▶ Extracting programs to (Common) Lisp.